

Dakota County 2019 Consolidated Annual Performance and Evaluation Report (CAPER)



July 2019 to June 2020

To be submitted to HUD
on September 28, 2020

Prepared by the Dakota
County CDA



CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Dakota County is a grantee designated to receive federal funding through the U.S. Department of Housing and Urban Development (HUD) for the following entitlement programs: Community Development Block Grant (CDBG); HOME Investment Partnership (HOME); and Emergency Solutions Grant (ESG). Dakota County is designated as the lead agency for the Dakota County HOME Consortium, and assumes the role of monitoring and oversight of the HOME funds for the Consortium, which includes Anoka, Dakota, Ramsey, and Washington Counties and the city of Woodbury. As the grantee of CDBG funds, Dakota County directly works with the various municipalities (municipal subrecipients) within the County to provide access to this funding source.

The Dakota County Community Development Agency (CDA) has administered these federal funds on behalf of Dakota County since the County became an entitlement jurisdiction in 1984. Each of the three entitlement programs has eligible activities in which the funds can be utilized. The CDA directly partners with the municipalities, consortium members, and the social services division of the County to implement the programs. The CDA is charged with ensuring the requirements are met and will continue to provide the administrative guardianship of all three programs through its agreement with the County.

Each grantee must prepare a Consolidated Plan and subsequent Annual Action Plans to receive the federal funding that furthers affordable housing and community development activities. To evaluate progress, each grantee must also prepare a Consolidated Annual Performance and Evaluation Report (CAPER). This report details the advancement the grantee has made towards achieving the goals and meeting the objectives outlined in the Annual Action Plan. This CAPER covers the 2019 Action Plan, the final year of the approved 2015-2019 Consolidated Plan for Dakota County.

The 2015-2019 Consolidated Plan and the 2019 Action Plan identified objectives, priorities, strategies, and outcomes to achieve the housing and community development needs of the residents of Dakota County. The following chart lists the priorities for Dakota County for the Five-Year Consolidated Plan.

2015-2019 Consolidated Plan - Dakota County							
Objective			Priority	Strategy		Outcome	
6	Support planning efforts that address the housing, community and economic development needs of Dakota County and continue to foster partnerships with community stakeholders.	1	Increase the affordable housing choices for low-and moderate-income households.	Homelessness	a. Support the implementation and operation of coordinated access entry sites for families, youth and singles b. Support housing stabilization initiatives for homeless populations c. Support the operation of emergency shelter facilities d. Create additional permanent supportive housing units e. Support creation or renovation of shelter facilities for homeless	Planning and Administration a. Support all of the goals and strategies with planning and administration	• 100 Households provided Rapid Rehousing Assistance • 50 Homeless Prevention
				Affordable Rental Housing	a. Assist households to secure housing through new construction b. Improve energy efficiency of rental units c. Rehabilitate and preserve affordability in multifamily units d. Provide rental assistance to families and singles e. Support fair housing activities		• 350 Rental Units constructed • 100 Rental Units rehabilitated • 60 Households Assisted with rental assistance
		2	Preserve and improve existing housing to maintain affordability.	Affordable Homeowner Housing	a. Assist households to become homeowners b. Increase supply of affordable homeowner housing c. Improve energy efficiency of owner-occupied housing units d. Rehabilitate and preserve affordability in single family units e. Provide homeowner counseling and short term assistance f. Support fair housing activities		• 600 Homeowner Units rehabilitated • 500 Direct Financial Assistance to Homebuyers • 15 Homeowner Units (re)constructed
				Community Development	a. Assist in the development of centers and recreational parks b. Assist LMI homeowners with street assessments c. Assist LMI homeowners with water/sewer improvements d. Improve sidewalk accessibility and safety e. Beautification		• 1,500 Persons benefited from public facility or infrastructure improvement
		3	Increase access and quality of living by providing public services and supporting public facilities.	Public Services	a. Support programs that fulfill basic needs (food and shelter) for people who are low-income and/or homeless b. Support programs for youth c. Support programs for seniors d. Support transportation services		• 17,000 Persons benefited from public services
				Neighborhood Revitalization	a. Address vacant or substandard properties that may or may not be suitable for rehab b. Address water and sanitation hazards c. Address contamination clean up issues d. Historic Rehabilitation		• 150 Households assisted • 5 Buildings demolished • 1 Historic Property Rehabilitation
		4	Support community development that revitalizes neighborhoods and removes safety and blight hazards.	Economic Development	a. Support work initiatives that assist residents to access living wage jobs b. Support initiatives that help low-income people gain work skills, jobs and employment history c. Support businesses to start up or expand d. Provide financial assistance to businesses		• 5 Direct Financial Assistance to Businesses • 5 Façade treatment/business building rehabilitation
		5	Support economic development that enhances the workforce and businesses.				

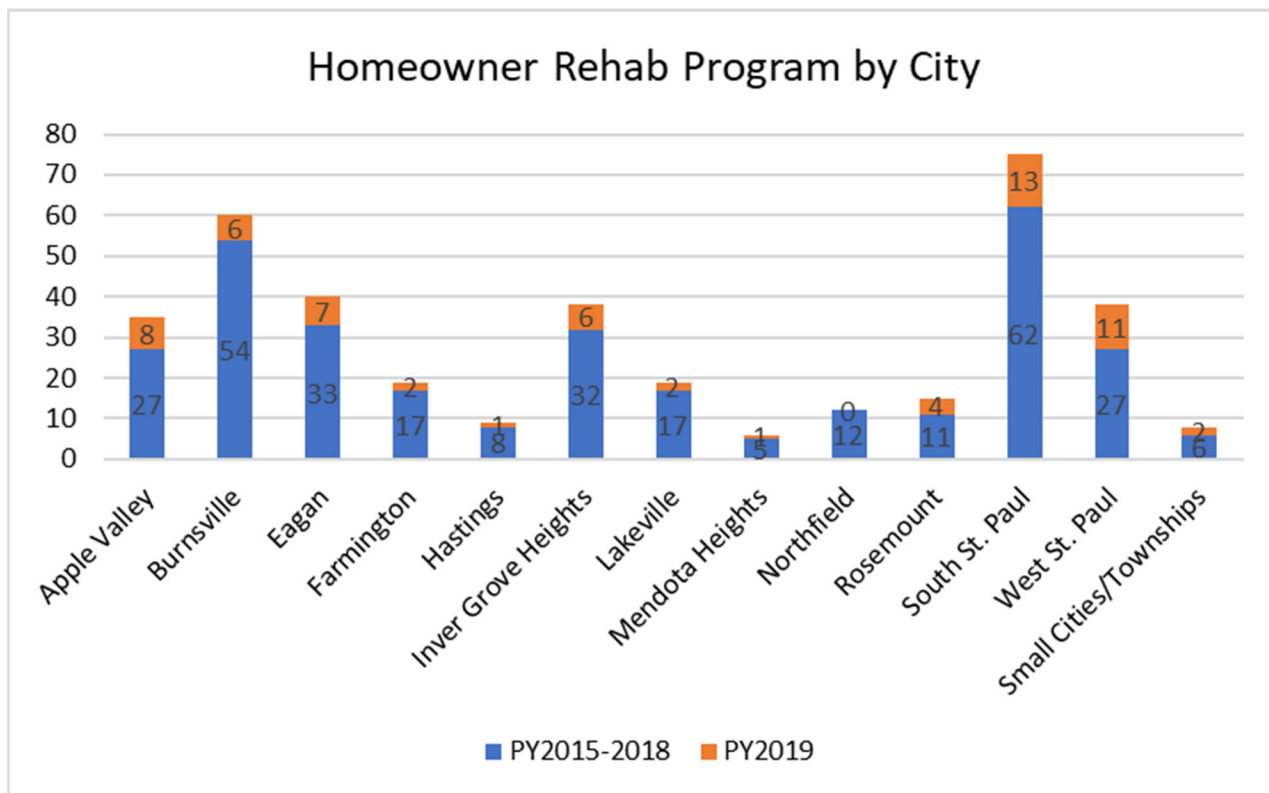
CDBG Program Highlights

Homeowner Rehabilitation

The CDA's primary method of maintaining existing affordable housing is through Homeowner Rehabilitation. Low-and moderate-income homeowners work with CDA housing rehabilitation specialists to determine the scope of work to be done and this work is then paid for with a zero percent interest, deferred loan administered by the CDA. Loans range from a minimum of \$15,000 to a maximum of \$35,000. In Program Year 2019, the CDA completed 63 homeowner rehabilitation loans. Sixty-two (62) of these loans were funded with CDBG, eight (8) were partially funded with local funds, and one (1) was funded through the Minnesota Housing Finance Agency (MHFA). In total 62 Homeowner Rehabilitation projects were completed.



(Photos Courtesy of Dakota County CDA)



Public Services

The cities of Apple Valley, Burnsville, Eagan, Farmington, and Lakeville allocate a portion of their CDBG funds to Public Services. The services primarily include programming for Youth and Seniors. The city of Burnsville also allocates funding to an EMS Grant program that assists income-eligible households in paying for Emergency Medical Service costs and a Community Support Worker to assist income-eligible households with referrals to services throughout the community. In Program Year 2019, these five cities and Dakota County combined to serve a total of 3,745 persons of which 98.7 percent were low- or moderate-income.



(Photo Courtesy of City of Lakeville)

HOME Program Highlights

The CDA completed a 40-unit townhome development, Prestwick Place, in the City of Rosemount. The project leveraged Tax Credits with state and local funds. There are four HOME units.



(Photos Courtesy of Dakota County CDA)

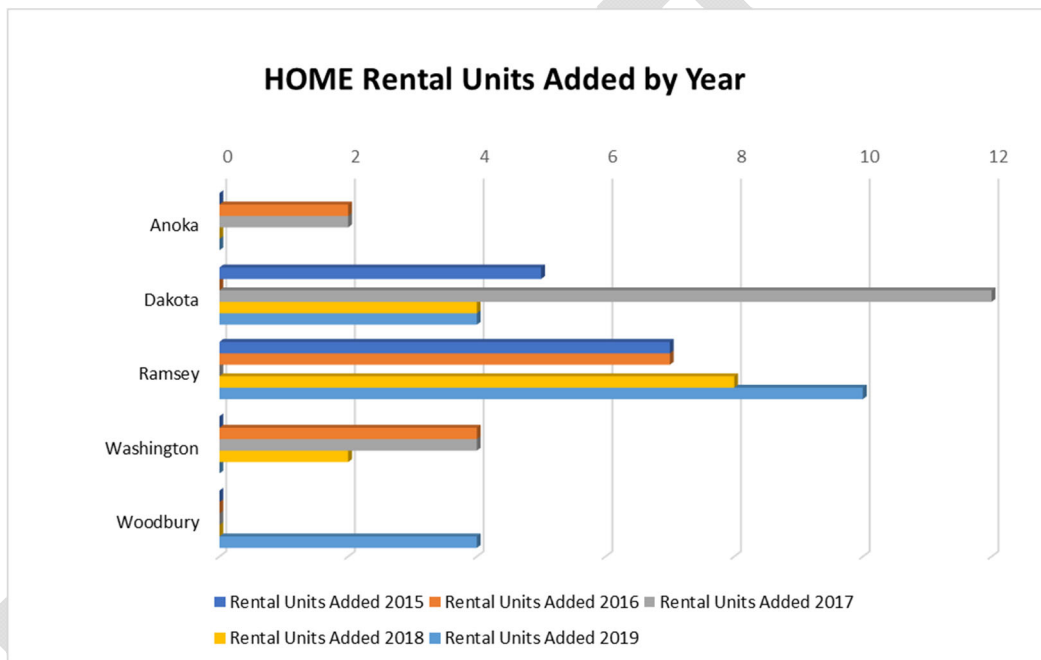
Cahill Place was substantially completed with final completion planned for October 2020. This is a 40-unit development for families facing significant barriers to stable housing with at least half of the units dedicated for families at risk of homelessness in Inver Grove Heights. There will be supportive services for all residents. This project leveraged Minnesota Housing First Mortgage, bonds, tax credits, levy dollars, TIF dollars, LHIA dollars, and donations to make this project possible. There are four HOME units.

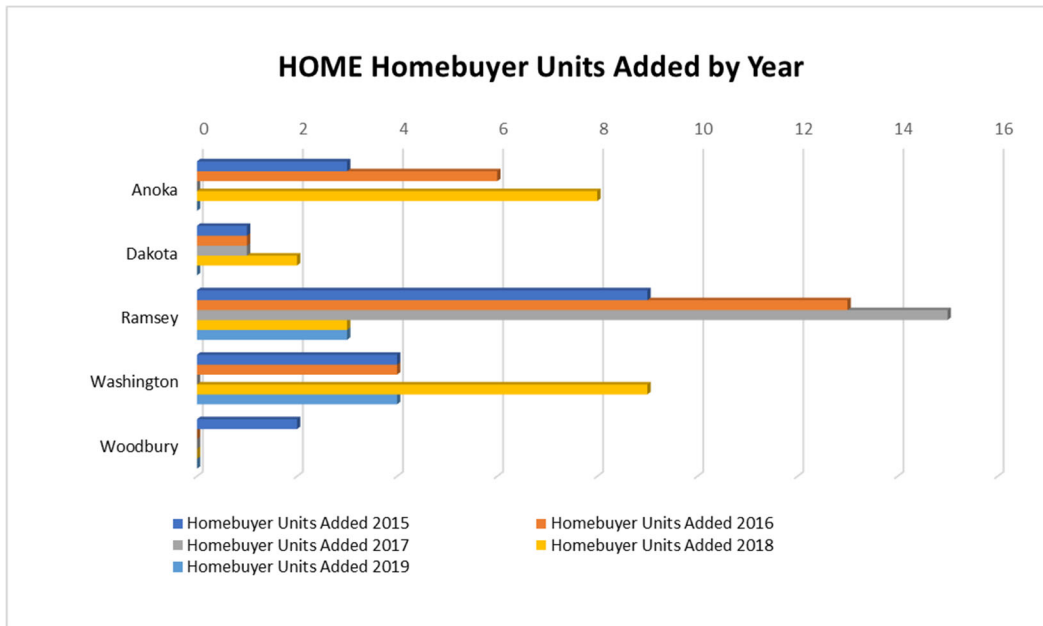


(Photo Courtesy of Center City Housing Corp.)

HOME Consortium

The Dakota County HOME Consortium consists of the counties of Anoka, Dakota, Ramsey and Washington as well as the city of Woodbury. In Program Year 2019 the HOME Consortium added seven HOME units of homeowner housing and 18 HOME units of rental housing. This was done primarily through Down Payment Assistance programs, new construction, and rehabilitation. Additionally, Anoka County administered a Tenant-Based Rental Assistance program that served one household in Program Year 2019. Below is the breakdown of HOME Consortium members' completions for Program Years 2015 through 2019, the full Consolidated Plan of the Dakota County HOME Consortium Consolidated Plan.





Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source/ Amount	Indicator	Unit of Measure	Expected - Strategic Plan	Actual - Strategic Plan	Percent Complete	Expected - Program Year	Actual Program Year	Percent Complete
Affordable Housing	Affordable Homeowner Housing	CDBG/HOME /HOPE	Public Service Activities Other than Low/Moderate Income Housing Benefit	Persons Assisted	250	253	101.20%	50	31	62.00%
			Homeowner Housing Added	Household Housing Unit	50	63	126.00%	8	4	50.00%
			Homeowner Housing Rehabilitated	Household Housing Unit	600	373	62.17%	65	63	96.92%
			Direct Financial Assistance to Homebuyers	Households Assisted	352	227	64.49%	0	3	300.00%
	Affordable Rental Housing	CDBG/HOME /HOPE/LIHTC	Rental Units Constructed	Household Housing Unit	527	1,074	203.80%	40	200	500.00%
			Rental Units Rehabilitated	Household Housing Unit	198	164	82.83%	8	2	25.00%

			Tenant-Based Rental Assistance/Rapid Rehousing	Households Assisted	120	113	94.17%	0	1	100.00%
			Other	Other	2	0	0.00%	0	0	0.00%
	Homelessness	ESG/CoC/FH PAP	Tenant-Based Rental Assistance/Rapid Rehousing	Households Assisted	100	200	200.00%	20	83	415.00%
			Homelessness Prevention	Persons Assisted	50	0	0.00%	0	0	0.00%
Community Development	Non-Housing Community Development	CDBG	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	500	33,609	6721.80%	10	1,523	1,523.00%
			Public Service Activities Other than Low/Moderate Income Housing Benefit	Persons Assisted	300	2517	839.00%	0	0	0.00%
Economic Development	Non-Housing Community Development	CDBG	Façade Treatment/business building rehabilitation	Business	5	3	60.00%	0	0	0.00%
			Businesses Assisted	Businesses Assisted	5	3	60.00%	1	0	0.00%
Neighborhood Revitalization	Non-Housing Community Development	CDBG	Rental Units Constructed	Household Housing Unit	0	0	0.00%	0	0	0.00%
	Non-Housing Community Development		Homeowner Housing Added	Household Housing Unit	0	0	0.00%	0	0	0.00%
	Non-Housing Community Development		Homeowner Housing Rehabilitated	Household Housing Unit	125	229	183.20%	75	56	74.67%
	Non-Housing Community Development		Buildings Demolished	Buildings	5	2	40.00%	0	0	0.00%
	Non-Housing Community Development		Other	Other	1	1	100.00%	0	0	0.00%

Planning and Administration	Affordable Housing, Public Housing, Homeless Non-Homeless Special Needs, Non-Housing Community Development	CDBG/HOME /ESG	Other	Other	10	11	110.00%	6	2	33.33%
Public Service	Non-Housing Community Development	CDBG	Public Service Activities Other than Low/Moderate Income Housing Benefit	Persons Assisted	17000	21,228	124.87%	3,496	3,745	107.12%
			Homeless Person Overnight Shelter	Persons Assisted	125	339	271.20%	0	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified. In Program Year 2019, Dakota County expended \$2,531,448 of CDBG funds. The funds were primarily spent on the Homeowner Rehab program, which provides zero percent, deferred loans between \$15,000 and \$35,000 to homeowners in the low- to moderate-income categories. In 2019, 63 homeowner rehab projects were completed. The Homeowner Rehab program used \$1,849,698 of CDBG funds for eligible activities.

Various cities throughout Dakota County provide services to their residents. In Program Year 2019 a total of \$221,372 was expended to under Public Services to assist 3,745 persons, of which 98.7 percent were low-and moderate-income.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

	CDBG	HOME	ESG
White	4,302	9	134
Black or African American	521	13	83
Asian	102	1	4
American Indian or American Native	13	1	6
Native Hawaiian or Other Pacific Islander	0	0	0
American Indian or Alaskan Native & White	8	0	0
Asian & White	7	1	0
Black or African American & White	64	0	0
Amer. Indian or Alaskan Native & Black or African Amer.	1	0	0
Other or Multi-Racial	236	0	23
Refused Identifying Information	99	0	3
Total	5,353	25	253
Hispanic	381	1	230
Not Hispanic	4,972	24	23

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The table above lists the numbers of beneficiaries by race and ethnicity assisted with HUD-funded programs in 2019. The numbers above show households and individuals served through Housing and Non-Housing activities. CDBG includes Public Services, Public Facilities Improvements, Acquisition and Housing. HOME includes New Construction of Rental/Homebuyer Units, Acquisition/Rehabilitation, Down-Payment Assistance and Tenant Based Rental Assistance. ESG includes Rapid Re-housing and Emergency Shelter.

CR-15 – Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	\$2,848,596	\$2,531,448
HOME	HOME	\$2,667,099	\$2,093,512
ESG	ESG	\$164,742	\$187,800
HOPWA	HOPWA	0	0
LIHTC	LIHTC	\$880,000	\$880,000
Public Housing Capital Fund	Public Housing Capital Fund	\$861,111	\$913,124
Section 8	Section 8	\$20,087,948	\$20,257,347
Tax Increment Financing	Tax Increment Financing	0	0
Other	Other	\$400,000	\$65,301

Table 3 - Resources Made Available

Narrative

The table summarizes Program Year 2019 resources that were available to Dakota County and the actual amount including program income from CDBG and HOME activities. Program income received from CDBG is mostly from the Homeowner Rehab program in the form of loan payoffs. In Program Year 2019, the Dakota County CDA received \$961,018 in program income for the CDBG program and \$684,072 for the HOME Consortium. Of the \$684,072, only \$70,962 was program income strictly for Dakota County. Program Income was included in the 2020 Action Plan as required by HUD.

The Other category includes the CDA's local levy-supported funding from the HOPE program, which accounted for \$400,000. This funding source was used to supplement the CDA's Homeowner Rehabilitation program.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
N/A	N/A	N/A	N/A

Table 4 – Identify the geographic distribution and location of investments

Narrative

Dakota County and its participating municipal subrecipients do not target the use of the federal funds to any one specific area within the County. Generally, funds are used to

support programs that provide a benefit to all eligible residents throughout the County rather than to areas of concentration. The municipal subrecipients may further refine the area to within city-limit boundaries for projects utilizing CDBG funding awards.

Dakota County's status as an "Urban County" is supported by the municipalities of the County, particularly those communities that could qualify as entitlement jurisdictions individually. By remaining a collective whole, the County is able to receive more funding, reduce the administrative burden, and allow for program efficiencies. As a result, the municipalities are partners in implementing the CDBG program directly within their communities and 75 percent of the funds are set-aside for the municipalities.

For HOME funding, each member of the Consortium is awarded a percentage of the overall allocation based upon the share percentage determination HUD provides. Dakota County has not chosen to disperse HOME funding to specific geographies; rather it will wait to identify a HOME eligible project. The location of the project will be considered but other factors such as site control, project financing and feasibility, and project readiness will heavily dictate where the funds are utilized.

ESG funds used for tenant based rapid re-housing assistance allow each client to determine which community in which they prefer to live. ESG is not directed to any one community, but it must be used within Dakota County.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

CDBG

For CDBG, the Homeowner Rehab program leverages state funding to provide additional loan resources to eligible homeowners to complete rehab projects, primarily mobile homes. The well-sealing activity requires homeowner provide at least 50% of the cost of the well sealing. The City of Hastings also requires some homeowner contributions for the abatement of street assessments activity.

ESG

The ESG program requires a 1:1 dollar match. The ESG program utilizes CoC funds to meet the match requirement.

Local Funds

The First Time Homebuyer program leverages private investment with state and federal dollars, as well as the local HOPE Program, to provide down payment assistance in addition to the homebuyers' minimum \$1,000 investment towards the purchase of the home. Private investment and equity are the major funding sources when LIHTC are used to construct new rental housing. For match funds, the HOPE Program requires a 2:1 match of funds committed to a project.

HOME

HOME Program's 25 percent match on funds drawn is met by the various funding streams that may be combined in a rental development project or by donated time and money when working with Habitat for Humanity. Using the Neighborhood Stabilization Program (NSP) funds, Dakota County CDA acquired several foreclosed properties during the economic downturn. The existing homes were in unfavorable conditions and were demolished to remove the safety and blight hazards posed. A handful of these land bank lots were sold to Community Housing Development Organizations (CHDO) and non-CHDO organizations to develop affordable housing options for homeowners. It is likely this type of partnership will continue with some of the remaining lots.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	\$ 67,647,453.68
2. Match contributed during current Federal fiscal year	\$ 6,032,091.18
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$ 73,679,544.86
4. Match liability for current Federal fiscal year	\$ 417,749.14
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$ 73,261,795.72

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
Anoka (2983) – 210 103 rd Cr	04/29/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$28,862.04	\$0.00	\$28,862.04
Anoka (2984) – 4452 4 th St NE	04/29/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$30,254.81	\$0.00	\$30,254.81
Dakota (2947) Cahill Place	11/29/2019	\$1,840,000	\$0.00	\$0.00	\$0.00	\$350,000	\$104,437.29	\$2,294,437.29
Ramsey (2985) – Emma's Place	02/04/2019	\$1,913,467.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,913,467.00
Ramsey (2934) – CAPRW CHDO – 13 th Ave E	11/30/2018	\$130,202.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$130,202.00
Washington (2922) - Habitat Gen. Acres 8	08/30/2018	\$0.00	\$0.00	\$0.00	\$0.00	\$283,947	\$0.00	\$283,947.00
Washington (2981) – TRCLT Scatter Site	09/18/2018	\$193,609.04	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$193,609.04
Washington (2941) – Scattered Site Rehab	06/30/2019	\$0.00	\$0.00	\$0.00	\$0.00	\$104,685	\$0.00	\$104,685.00
Woodbury (2933) – Glen at Valley Creek	04/11/2019	\$1,052,627.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,052,627.00
Total								\$6,032,091.18

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
\$460,631.89	\$684,071.95	\$468,023.20	\$0.00	\$676,680.64

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number	1	0	0	0	0	1
Dollar Amount	\$1,660,036	0	0	0	0	\$1,660,036
Sub-Contracts						
Number	98	2	0	1	1	94
Dollar Amount	\$7,976,774	\$388,083	0	\$396,884	\$754,812	\$6,436,995
	Total	Women Business Enterprises	Male			
Contracts						
Number	1	1	0			
Dollar Amount	\$1,660,036	\$1,660,036	0			
Sub-Contracts						
Number	98	24	74			
Dollar Amount	\$7,976,774	\$3,008,830	\$4,967,944			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	5	0	0	0	0	5
Dollar Amount	\$1,850,000	0	0	0	0	\$1,850,000

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition		
	Number	Cost
Parcels Acquired	3	\$919,000
Businesses Displaced	0	0
Nonprofit Organizations Displaced	0	0
Households Temporarily Relocated, not Displaced	0	0

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

DRAFT

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	22	34
Number of Non-Homeless households to be provided affordable housing units	129	206
Number of Special-Needs households to be provided affordable housing units	0	0
Total	151	240

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	22	83
Number of households supported through The Production of New Units	16	204
Number of households supported through Rehab of Existing Units	113	2
Number of households supported through Acquisition of Existing Units	0	0
Total	151	289

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The CDA's primary method for providing affordable housing to homeless families is through ESG Rapid Re-housing. Eighty-three (83) households were supported through Rapid Re-housing in Program Year 2019.

Dakota County and the HOME Consortium surpassed most anticipated goals for Program Year 2019. In Program Year 2019 the actual rehab of existing units fell short of the one-year goal due to a delay in construction of a 40-unit rehabilitation project. This project will be completed in PY2020. The HOME Consortium surpassed its five-year goal of rental units constructed. The Tenant Based Rental Assistance Program dwindled over the past five years. It fell short of meeting the five-year goal by seven households. The program has been completed. The direct financial assistance to homebuyers also fell short of anticipated five-year goals. Overall, it met 64 percent of the goal of 352 households. Finally, the homeowner rehab program has kept steady with the two rehabilitation advisors after the retirement of the third advisor. There was a small dip this year, but now a third advisor has been added. This program also met its goal of approximately 62 percent for the five-year period.

Discuss how these outcomes will impact future annual action plans.

Future annual action plans will continue to anticipate the number of households served and/or housing units added based on the capacity of funding available for the program year. It is expected that prior year funds are expended on the eligible activities they are allocated for and those accomplishments will be reported when complete.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	14	8
Low-income	18	8
Moderate-income	31	9
Total	63	25

Table 13 – Number of Households Served

Narrative Information

Dakota County and the HOME Consortium supported households through Downpayment Assistance, Acquisition and Rehabilitation, New Construction of Rental Units, New Construction of Homebuyer Units, and Rehabilitation of Homeowner Units.

The Dakota County CDA rehabilitated 63 owner-occupied units and assisted with the construction of a 40-unit rental development (included four HOME units). The remainder of affordable housing was created by Anoka County, Ramsey County and the Washington County CDA through the HOME program and municipal subrecipients through the CDBG program.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Dakota County continues to reach out to shelter and unsheltered persons through a variety of methods. The County studies risk factors of first time homelessness through an annual needs assessment. The assessment focuses on the following:

- 1) A survey of community providers (food shelves, housing providers, schools, police, etc.) focused on barriers and type of resource needed for households to maintain stable housing.
- 2) Conducting focus groups with persons who access homeless prevention programs or shelter to collect their experiences and needs. The County utilizes food shelves, homeless school liaisons, etc. to quickly identify households at risk of homelessness to connect them to CE and emergency services. A screening tool is utilized by CE Access Points to assess for prevention and diversion services.
- 3) The CoC maximizes homeless prevention/diversion funding by using State-funded Family Homeless Prevention and Assistance Program, ESG, TANF Block Grant and General Assistance to fund strategies most likely to prevent first-time homelessness (short/medium term rental assistance, utility assistance, landlord/tenant mediation, etc.).

Addressing the emergency shelter and transitional housing needs of homeless persons

Dakota County addresses these needs primarily with CoC and ESG funding. The main use of ESG is Rapid Re-housing which can include first month's rent, damage deposits and ongoing rental subsidies. Dakota County's ESG program supported 253 households that included 215 adults and 38 children through these activities in Program Year 2019.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Dakota County's protocols for the discharge of persons from publicly funded institutions and other systems of care are designed to prevent immediate homelessness. Below is a summary of the policies, procedures and proposed changes from SMAC's CoC application.

Health Care: Releasing medically fragile, homeless people is a growing problem. Medical respite beds are available in the metro area and the CoC is collaborating with metro providers to establish a program that houses homeless persons being released

from hospitals. Hospital social workers make referrals to Social Services, then individuals are offered adult protection services. If eligible, clients are offered direct assistance in obtaining housing. If not eligible for Social Services or case coordination through Public Health Nursing, they are referred to Economic Assistance to determine eligibility for financial aid. If there is a safety concern, the county may place non-disabled adults in a motel in lieu of shelter for up to three days.

Foster Care: Protocol is in place so no one is discharged from foster care without stable housing. Minnesota legislation directs counties to develop discharge plans with all foster care youth at age 16. All youth receive a notice regarding their rights. Foster care youth may stay in foster care until age 21 or choose to live independently and work with their case manager to identify and secure permanent housing, including accessing resources for a damage deposit and rental assistance. All youth transitioning from foster care develop a discharge plan with their county case manager; the plan includes needs, goals, resources and a support system. The CoC reviews the foster care discharge policy with county staff annually. Child protection staff responsible for discharge and case management participate in monthly CoC meetings.

Mental Health: Protocol is in place so no one is discharged from a mental health facility without stable housing. The state mandates all persons committed to any of the state's regional treatment facilities are assigned a mental health case manager through the county in which they live or last claimed residency. Discharge planning begins while the individual is committed and housing remains a part of the treatment plan after discharge to ensure housing is maintained. Persons leaving mental health facilities are typically discharged to adult foster care or other state resources to assist with housing stability.

Corrections: The State of Minnesota, Department of Corrections (DOC) staff complete an intake assessment when an inmate enters a facility and determines eligibility for county services and income support. If an inmate is homeless upon entering, their correctional officer coordinates with county personnel for housing search. State Prisons conduct training classes for inmates leaving the facility that deal with issues that inmates encounter upon release, including housing. DOC has worked with members of the SMAC to eliminate significant barriers to rehousing ex-offenders.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The Dakota CDA and Dakota County Social Services are currently partnering with the faith community to continue providing emergency shelter for single adults and youth in Dakota County. The agencies have identified ESG funding as a vital revenue source for development and operation of emergency shelter. Dakota County has seen an increase in needs for emergency shelter for singles and youth and has a lack of capacity to meet these shelter needs. Heading Home Dakota and its workgroups actively coordinate with

the Suburban Metro Continuum of Care to identify unmet local needs and create additional resources for shelter and transitional housing.

DRAFT

CR-30 - Public Housing 91.220(h); 91.320(j)**Actions taken to address the needs of public housing**

The Dakota County CDA operates 243 units of scattered site public housing in addition to 80 units at Colleen Loney Manor. The scattered site public housing is a combination of two, three, and four-bedroom units. Colleen Loney Manor primarily consists of one-bedroom units. Each fiscal year a minimum of 40 percent of the households served are at or below 30 percent of the Area Median Income.

The CDA's public housing program is maintained and operated through the Capital Fund Program. The needs of each property are assessed every five years and improvements and modernizations are made based on those needs.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The CDA, as well as Consortium members, have developed a Citizen Participation Plan that encourages the participation of residents of public/assisted housing in the development of plans and reports. This is encouraged through a public comment period and scheduled public hearings. Additionally, the CDA sends mailings to each public housing and Housing Choice Voucher participant to solicit comments during the development of the annual PHA Plan. Lastly, a public housing resident has been added to the CDA's Board of Commissioners.

In order to help residents obtain homeownership, the CDA offers the Home Stretch Homebuyer Education course and one-on-one homeownership counseling. The course focuses on a variety of topics relating to homeownership and includes presentations by realtors, mortgage specialists and housing inspectors. Individual counseling with CDA homeownership specialists allows participants to assess their individual situation and develop a plan to achieve homeownership.

Actions taken to provide assistance to troubled PHAs

Neither the Dakota County CDA nor any HOME Consortium members are designated as a troubled PHA.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Dakota County does not establish countywide policies for land use and development. When the CDA has plans for a new development, CDA staff work with city staff in order to meet the zoning and land use requirements of that city. Nevertheless, Dakota County CDA maintains its relationship and works closely with all units of government throughout the county to support affordable housing. This includes support of new rental construction, tenant-based rent assistance, new homeowner construction (i.e. Habitat homes), special needs housing, and housing rehabilitation. Housing advocacy groups and the CDA will continue to work together to further affordable housing opportunities within all communities.

The CDA works closely with private developers to address barriers posed by limited access to private financing. The CDA can assist by providing gap financing and working together with city staff and private developers to provide development support. In 2001, the CDA and Dakota County created the Housing Opportunities Enhancement (HOPE) Program. The HOPE program provides deferred loans of up to \$750,000 for gap financing for the new construction, substantial rehabilitation, and/or preservation of affordable housing in the County.

To help address concerns about affordable housing, the CDA educates concerned residents about its affordable housing program and works with housing advocacy groups to further affordable housing opportunities within all communities. The CDA holds an open house event at all CDA developed properties for citizens to see what a CDA development looks like and how they are managed upon completion of a project. The CDA also has an annual open house to showcase a few of the different CDA senior developments in the county. Every attempt is made to address concerns of residents.

In the current economic climate, addressing underserved housing needs has become increasingly more difficult. To make housing affordable to very low income households, deep subsidies are needed. Appropriations for housing programs have seen reductions and are not at levels to solely support affordability gaps. The CDA will continue to wisely invest its federal funds in viable projects and combine federal sources with other resources so that it can continue to provide Dakota County residents with affordable housing options.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The CDA partners with other organizations to address the needs of those most underserved, and is an active member of the Affordable Housing Coalition (AHC), which is an independent, public/private collaboration that facilitates Countywide planning of homeless services and resources. The creation of affordable rental housing has been the method used most by the CDA to provide options for seniors and working families. For homeowners, the CDA assists underserved households when looking to purchase a home by providing credit counseling and first-time homebuyer education. Additionally,

downpayment assistance is available. The homeowner rehabilitation program assists households in maintenance and upkeep of their homes with an affordable deferred loan.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The CDA will continue to assess homes built prior to 1978 through the Home Improvement Loan program, aka the homeowner rehabilitation program, and address any hazards as part of the program if lead-based paint has been identified in connection to the work to be performed.

All residents of public housing properties managed by the CDA and Housing Choice Voucher clients are notified and provided with the pamphlet “How to protect yourself from Lead”. Of the homeowner units rehabilitated by the CDA in Program Year 2019, 43 were tested for lead-based paint, eight of those required interim controls and \$16,745 was expended by the CDA to complete the testing.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Dakota County Community Services division is the main provider of services that address the needs of those in poverty. In partnership with the County, the CDA refers all those needing additional services beyond the housing assistance and affordable housing opportunities the CDA is able to offer to help those in poverty.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Dakota County designated the administration of the CDBG and HOME programs to the Dakota County Community Development Agency (CDA). The Dakota County CDA maintains a strong relationship with County departments, cities and other agencies (private and non-profit) throughout County.

The CDA was established in 1971 pursuant to special Minnesota legislation to serve the cities and residents of Dakota County. The CDA operates under a eight-member Board of Commissioners appointed by the Dakota County Board. The CDA is a separate local government unit from Dakota County, but coordinates with the County to effectively and efficiently deliver housing and community development programs and services for the residents of Dakota County.

As the administrator of the CDBG program for the County and the participating cities, the CDA meets individually with each CDBG municipal subrecipient to discuss the activities, timeliness requirements, and regulations. To help cities understand the CDBG program better, the CDA developed a CDBG manual that details program requirements and the process for allocating and awarding funds. CDA staff also offer an annual CDBG workshop to review the latest funding application, and concentrate on providing information on one or two HUD regulations (i.e. Davis Bacon, procurement, timeliness, environmental reviews, etc.). In addition to the relationships within the County, Dakota County CDA is the lead administrator for the Dakota County Consortium and works with staff from Anoka, Ramsey and Washington counties and the City of Woodbury to implement the activities funded with HOME dollars. The Consortium has monthly conference calls and quarterly in person meetings to discuss any changes in program regulations, monitoring, and the activities being put into operation.

ESG is administered by the CDA but implemented by Dakota County Community Services division and Hearth Connection. The CDA reviews annually ESG files for compliance and draws down all funds on a quarterly reimbursement basis.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Dakota County CDA staff regularly attend the monthly Affordable Housing Coalition meetings to coordinate funding decisions to specifically address homelessness among individuals, families and unaccompanied youth. Social service staff connected to the social needs of residents will continue to be partners in the supportive and rapid rehousing programs.

The written standards for ESG are replications of the Suburban Metro Area Continuum of Care (SMAC - includes Anoka, Carver, Dakota, Scott, and Washington Counties) adopted standards to further align with the CoC and all the other resources being used to combat homelessness. These written standards are updated on an on-going basis to ensure continued high performance when serving the homeless population.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The Dakota County CDA proactively complies with the Fair Housing Act and other civil rights laws, including Title VI of the Civil Rights Act of 1964, Section 109 of the Housing and Community Development Act of 1974, Section 504 of the Rehabilitation Act of 1973, and Title II of the Americans with Disabilities Act of 1990 in order to ensure that all people have equal access to the housing of their choice. To support compliance with these fair housing related acts and laws the CDA participates in several Fair Housing activities.

The Fair Housing Implementation Council (FHIC) is a collaborative group representing the Twin Cities metropolitan area entitlement jurisdictions and other governmental agencies; Dakota County CDA represents Dakota County on the FHIC. The purpose of the FHIC is to facilitate and initiate implementation of activities having metro-wide significance identified in the regional Analysis of Impediments to Fair Housing Choice (AI).

In 2017, the FHIC completed an Addendum to the FHIC's 2014 Regional AI (AI Addendum). The AI Addendum specifically addresses housing discrimination, gentrification and displacement, barriers to housing choice, and the conditions of segregation and integration in the seven-county area that includes Anoka, Carver, Dakota, Hennepin, Ramsey, Scott and Washington Counties in Minnesota. Presently, in PY 2019, the FHIC is continuing work on the a new AI.

In 2019, Dakota County will continued to jointly fund and participated in the following fair housing activities to attempt to address the impediments to fair housing choice facing the region and Dakota County. The activities included:

- The CDA's Housing Assistance department:
 - Will host a landlord/owner training for local landlords and rental property owners to discuss a variety of issues related to rental housing and the Housing Choice Voucher (HCV) program. Fair housing and equal access to housing will be covered. The CDA will use a contract attorney that specializes in fair housing law to ensure that the landlords and property owners receive the most current, accurate information regarding fair housing. A similar fair housing training was held in the fall of 2019. (Recommendation 1C, AI Addendum 2017)
 - Offers monthly workshops about the Housing Choice Voucher Program for rental property owners and landlords that provides tools, tips and information on requirements and policies. Fair housing information is also included. (Recommendation 1C, AI Addendum 2017)
 - Provides new HCV participants information on fair housing and how to file a discrimination complaint.
 - Is involved with the Dakota County landlord recruitments/retention initiative. (Recommendation 1C, AI Addendum 2017)
 - Participates in an ongoing regional discussion regarding the mobility and policies of the HCV programs across the region. (Recommendations 1D, 1E and 1G, AI Addendum 2017)
 - Provides an online option for participants to use when applying for HCVs. (Recommendation 9B, AI Addendum 2017)
- Through the CDA homebuyer and homeownership resource connection (Goal 3, AI Addendum 2017):
 - Homeownership counselors assist potential homebuyers of color and help prepare them for long-term homeownership success. The First Time Homebuyer program may offer additional assistance with down payment and closing costs. Homebuyer Education and Counseling services at the CDA continue to be promoted at Dakota County community events and fairs, city offices, churches, and social service agencies. The CDA also advertises these services on its website. (Recommendations 3C and 3D, AI Addendum 2017)
 - The CDA makes available information pertaining to the fair housing complaint process to homebuyers during Homestretch classes and homebuyer counseling. (Recommendation 3D, AI Addendum 2017)
 - Foreclosure literature will continue to be mailed to recipients of Pre-Foreclosure Notices and Notices of Pendency educating them about the foreclosure process and the services offered by the CDA. Dakota County continues to promote the loan scam alert campaign Look Before You Leap on the CDA website. Furthermore, CDA staff discuss mortgage scams with foreclosure prevention clients by asking the following questions:
 - Did anyone offer to help modify your mortgage, either directly, through advertising, or by any other means such as a flyer?
 - Were you guaranteed a loan modification or asked to do any of the following: pay a fee, sign a contract, redirect mortgage payments, sign over the title to your property, or stop making loan payments?

(Recommendation 3D, AI Addendum 2017)

- The CDA Community and Economic Development Department staff holds bi-monthly meetings with the city Community Development Directors. This group has discussed the creation and implementation of local Fair Housing Policies in individual cities. (Goal 10, AI Addendum 2017)
- The CDA Housing Finance Policy includes the requirement for projects financed with private activity bonds, 501(c)(3) bonds, refunding bonds, Low Income Housing Tax Credits, Tax Increment Financing and HOPE funds (a local gap financing source) to participate in the Housing Choice Voucher Program (HCV). The developer will be required to sign an agreement that while the bonds/loan/agreement are outstanding, they will not exclude from consideration qualified families receiving assistance for the Section 8 program. (Recommendation 1C, AI Addendum 2017)
- Annually, the CDA contributes a portion of its special benefit levy to the HOPE Program Fund, subject to approval by the CDA and Dakota County Boards of Commissioners. The CDA's HOPE Program is designed to provide resources to create and preserve affordable housing throughout Dakota County for low- to moderate-income households. The HOPE Program provides gap financing to leverage public and private sector funds for the expansion and preservation of affordable housing units in Dakota County. The HOPE Program is used to assist in the following activities:
 - New Construction/Land Acquisition
 - Homeownership Opportunities—indirect or direct assistance
 - Housing Rehabilitation/Acquisition/Preservation

(Recommendations 4A and 4B, AI Addendum 2017)

- The CDA offers the Home Improvement Loan Program that assists low- and moderate-income homeowners with making repairs and improvements to their homes. Home Improvement Loans are commonly used for roof replacement, furnace replacement, electrical and plumbing repairs, insulation and improvements for special needs, such as ramps, bathroom or kitchen modifications. Home Improvement Loans feature zero percent interest and no monthly payments. It is repayable when ownership changes or you move from the property.

(Recommendation 2D, AI Addendum 2017)

- The CDA Fair Housing Policy provides a centralized location that lists all CDA fair housing practices, with references allowing interested parties to research details for each program area. The Fair Housing Policy established a Fair Housing Officer who is responsible for providing public information regarding the policy and applicable federal and state fair housing requirements and resources, and referral of all fair housing complaints.
- CDA and County staff regularly attend the Dakota Affordable Housing Coalition (AHC) monthly meetings. The AHC is a public/private collaboration that facilitates Countywide planning of homeless services and resources. Regular participants include:
 - 360 Communities
 - Twin Cities Habitat for Humanity

- Guild Incorporated
 - People, Inc.
 - Dakota-Carver-Scott CAP Agency
 - Neighbors, Inc. (a non-profit, social-service agency providing emergency assistance and supportive assistance programs to low-income community members in the northern Dakota County communities)
 - MICAHA (a coalition of religious groups working to end homelessness and expand affordable housing in the Twin Cities region)
 - MESH (Metro-Wide Engagement on Shelter and Housing)
 - SMRLS (Southern Minnesota Regional Legal Services)
- (Goal 7, AI Addendum 2017)
- Dakota County Social Services staff provides (and has distributed) tenant and landlord marketing materials that provide a clear message about available assistance to resolve housing crises and prevent homelessness. The goal is to have this information provided at lease signing or other interactions between landlords and tenants to encourage them to refer tenants to resources instead of resorting to eviction. The tenant information is in English, Spanish and Somali. (Goal 9 and Recommendation 10C, AI Addendum 2017)
 - Information about the Fair Housing Act is on the CDA website and it provides users with information on how to file a fair housing complaint.
 - Fair Housing Ads will be published in the local newspapers during the month of April as part of Fair Housing Month.
 - Multi-language Fair Housing posters are prominently displayed in the front lobby of the CDA office, as well as smaller posters in staff cubicles where staff and program participants meet. The CDA will continue to supply additional posters to the other service organizations at the Affordable Housing Coalition meetings. The Fair Housing symbol will be displayed on all CDA brochures as well as on the CDA website.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The CDA conducts on-going desk monitoring of the Consortium and the municipal subrecipient activities. This monitoring includes review of reimbursement requests and quarterly reports. The Dakota County CDA also conducts a risk assessment each year to determine which municipal subrecipients to select for onsite monitoring. The risk assessment uses criteria similar to HUD risk assessment criteria.

The CDA provides each of the municipal subrecipient quarterly reports which detail CDBG funding remaining for the designated activities as well as addresses the individual 1.5 timeliness ratio the CDA requests each subrecipient to achieve. The CDA continues to perform desk-monitoring of the municipal recipients through the reimbursement requests and quarterly reports. The results of the monitoring show all cities comply with program requirements.

HOME monitoring was contracted out to Affordable Housing Connections (AHC). They request reports from the owners/managers of the HOME units in each of the members' jurisdictions. They also do on-site monitoring of selected project files and complete the inspection of units required in each year.

Citizen Participation Plan 91.105(d); 91.115(d)

Please see "Citizen Participation Plan" on the Dakota County CDA website:

www.dakotacda.org

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The CAPER must be available for citizens to comment on before its submission to HUD. The draft CAPER report was made available for review by the public on the CDA and Dakota County websites.

A minimum 15-day notice to receive public comments for the CAPER was published on August 27, 2020, in the *Star Tribune*, and the notice was placed on the Dakota County CDA's website and on Dakota County's website. A public hearing notice was published on September 10, 2020, and the notice was placed on both the CDA's and the County's websites. The Dakota County Board of Commissioners held a public hearing on September 22, 2020. The County Board approved the CAPER and authorized its submittal to HUD at the September 22 meeting.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

All changes made to the CDA's CDBG program were activity-level changes that did not modify the overall objectives of the agency. The program continues to focus on the creation and maintenance of affordable housing, public services, public facilities improvements, economic development, and neighborhood stabilization.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

This Jurisdiction does not have any open Brownfields Economic Development Initiative grants.

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

N/A – Dakota County is not a BEDI grantee.

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CR-50 - HOME 91.520(d)**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Affordable Housing Connections suspended physical inspections HOME-assisted developments owned/managed by the Dakota County CDA due to COVID-19. A total of 28 annual reports were reviewed and 76 units were inspected and corresponding tenant files reviewed.

The following charts will be completed prior to CAPER submission on September 28. The monitoring activities are still taking place at the time of Public Comment.

CDA OWNED PROPERTIES

Development	Insp Date	Results of Physical Inspection	Results of Desk Audit and Tenant Files Review

PRIVATE DEVELOPERS

Development	Insp Date	Results of Physical Inspection	Results of Desk Audit and Tenant Files Review

NONPROFIT OWNERS

Development	Insp Date	Results of Physical Inspection	Results of Desk Audit and Tenant Files Review

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The CDA establishes an Affirmative Fair Housing Marketing Plan for each of its HOME development projects. An assessment of groups that may be less likely to apply based on location and other factors is completed and a marketing plan is developed. The CDA will determine a marketing strategy that will reach the under-represented groups and also connect with community members associated with those groups.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

Program Income is not designated for any particular project or activity. Per HUD Regulations, activities are funded with Program Income as required. Owner and tenant characteristics are similar to those of activities funded with Entitlement Funds or a combination of Entitlement Funds and Program Income.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

Dakota County CDA's mission is to provide decent, safe and affordable housing to low-income residents of Dakota County. To achieve this, the CDA works with the cities to develop new affordable housing options. The CDA assists first time home buyers with educational outreach and offers competitive first mortgages as well as down payment assistance. CDA also strives to improve and preserve the existing housing stock by providing zero interest deferred rehab loans to low income homeowners and grant funded weatherization services to achieve more efficiency.

In addition to CDBG and HOME funding, the CDA also administers the Public Housing and Housing Choice Voucher Programs. The CDA owns and manages 324 scattered site public housing units located throughout Dakota County. The CDA currently administers over 2,600 Housing Choice Vouchers and 80 special vouchers using state and levy dollars. Both the public housing units and housing assistance provide very low income residents with affordable housing options.

CR-60 - ESG 91.520(g) (ESG Recipients only)

**ESG Supplement to the CAPER in *e-snaps*
For Paperwork Reduction Act**

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	DAKOTA COUNTY
Organizational DUNS Number	963108121
EIN/TIN Number	411253302
Identify the Field Office	MINNEAPOLIS
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	Mrs
First Name	Margaret
Middle Name	M
Last Name	Dykes
Suffix	0
Title	Assistant Director of CED

ESG Contact Address

Street Address 1	1228 Town Centre Dr
Street Address 2	0
City	Eagan
State	MN
ZIP Code	55123-
Phone Number	6516754464
Extension	0
Fax Number	0
Email Address	mdykes@dakotacda.state.mn.us

ESG Secondary Contact

Prefix	Mrs
First Name	Lisa
Last Name	Alfson
Suffix	0
Title	Director of Community and Economic Development
Phone Number	6516754467
Extension	0
Email Address	lalfson@dakotacda.state.mn.us

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2019
Program Year End Date	06/30/2020

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name

City

State

Zip Code

DUNS Number

Is subrecipient a victim services provider

Subrecipient Organization Type

ESG Subgrant or Contract Award Amount

DRAFT

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	0

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	45
Children	38
Don't Know/Refused/Other	
Missing Information	
Total	83

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	169
Children	
Don't Know/Refused/Other	1
Missing Information	
Total	170

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	0

Table 19 – Household Information for Street Outreach**4e. Totals for all Persons Served with ESG**

Number of Persons in Households	Total
Adults	215
Children	38
Don't Know/Refused/Other	
Missing Information	
Total	253

Table 20 – Household Information for Persons Served with ESG**5. Gender—Complete for All Activities**

	Total
Male	144
Female	109
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	253

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	38
18-24	19
25 and over	195
Don't Know/Refused/Other	1
Missing Information	
Total	253

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans			2	10
Victims of Domestic Violence			21	72
Elderly			2	17
HIV/AIDS			0	0
Chronically Homeless			18	53
Persons with Disabilities:				
Severely Mentally Ill			23	101
Chronic Substance Abuse			5	41
Other Disability			15	91
Total (unduplicated if possible)			43	233

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes**10. Shelter Utilization**

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nights available	50
Total Number of bed - nights provided	50
Capacity Utilization	100%

Table 24 – Shelter Capacity**11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)**

As an ESG recipient, the Dakota County CDA is closely connected to the regional CoC and the local planning group that elects representatives to the regional CoC. The ESG Written Standards were developed, vetted and voted on by the local planning group several years ago. Following this, the CoC developed its own Rapid Re-Housing Written Standards, drawing heavily on the ESG Written Standards. There is a continual dialogue with the CoC to ensure that the two RRH programs are delivered in a consistent manner, as well as continued dialogue and updates to the Written Standards to ensure that the latest best practice is reflected in the guidance. The CoC regularly discusses and evaluates the role of ESG-funding within its homeless response system utilizing Coordinated Entry and HMIS data.

ESG Rapid Re-Housing performance standards were developed to coincide with CoC performance standards. This year's results for RRH are as follows:

- Housing Stability – 88 percent
- Increase Total Income – 43 percent
- Increase Earned Income – 21 percent

CR-75 – Expenditures**11. Expenditures****11a. ESG Expenditures for Homelessness Prevention**

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

Table 25 – ESG Expenditures for Homelessness Prevention**11b. ESG Expenditures for Rapid Re-Housing**

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Expenditures for Rental Assistance	0	\$71,385	\$60,205
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$9,708	\$12,326	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	\$9,708	\$83,711	\$60,205

Table 26 – ESG Expenditures for Rapid Re-Housing**11c. ESG Expenditures for Emergency Shelter**

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Essential Services	0	0	0
Operations	\$9,168	0	0
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	\$9,168	0	0

Table 27 – ESG Expenditures for Emergency Shelter**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2017	2018	2019
Street Outreach	0	0	0
HMIS	0	\$4,517	0
Administration	0	\$9,542	\$10,948

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2017	2018	2019
	\$18,876	\$97,770	\$71,153

Table 29 - Total ESG Funds Expended**11f. Match Source**

	2017	2018	2019
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	\$18,876	\$97,770	\$71,153
State Government	0	0	0
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	\$18,876	\$97,770	\$71,153

Table 30 - Other Funds Expended on Eligible ESG Activities**11g. Total**

Total Amount of Funds Expended on ESG Activities	2017	2018	2019
	\$37,752	\$195,540	\$142,306

Table 31 - Total Amount of Funds Expended on ESG Activities